LUMINESCENCE SPECTRA OF HARMINE, HARMALINE, RESERPILINE AND 3-DEHYDRORESERPINES

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Summary

Fluorescence and phosphorescence spectra of harmine, harmaline and 3-dehydroreserpines were determined in neutral, acidic and basic solution at 298 and 77 K. Harmine and harmaline display normal behaviour. The dehydroreserpines, in contrast, act as pseudobases, undergoing reversible conversion to carbinolamine and keto-indole forms with characteristic spectral changes.

The conversion of reserpines to 3-dehydroreserpines by molecular oxygen in acetic acid media is described. The reaction is catalysed by trifluoroacetic acid and is inhibited by quenchers of singlet oxygen. Reserpiline undergoes similar oxidation under these conditions.

1. Introduction

In a previous paper [1] we discussed the luminescence spectra of reserpine and some related derivatives. We now turn to the Harmala alkaloids harmine (I) and harmaline (II) which are of pharmacological interest as central stimulants, hallucinogens and paralysants of cardiac muscle. (Structures I - VII are shown in Fig. 1.) Structurally these compounds relate to reserpine (IIIa) and to its dehydro derivatives (IVa and Va). We have now determined the fluorescence and phosphorescence spectra of harmine and harmaline in neutral, acidic and alkaline solution, and we have made similar spectral measurements on 3-dehydroreserpines. The spectral changes observed as the pH and temperature are varied illustrate a significant difference between the harmaline and the dehydroreserpine systems. We also describe the oxidation of reserpines by molecular oxygen in acetic acid solution in the presence of proton donors, particularly trifluoroacetic acid. 3-dehydroreserpines are produced, the intense green fluorescence of which has been used for the determination of reserpines in fluids [2, 3]. We have included the stereochemically related Rauwolfia alkaloid reserviline (VI) in these studies. Reserviline is easily oxidized to the 3-dehydroreservilinium

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VI

Fig. 1. Chemical structures of harmine (I), harmaline (II), reserpine (IIIa), 3,4,5,6-dehydroreserpine (IVa), 3,4-dehydroreserpine (Va), 3,4-dehydroreserpic acid (Vb), reserpiline (VI) and 3,4-dehydroreserpiline (VII).

cation (VII) in trifluoroacetic acid solution. The cation possesses a distinctive yellow fluorescence.

2. Experimental details and materials

The spectroscopic techniques and corrections were as described in earlier papers [4].

Harmine, harmaline and harmaline hydrochloride were purum grades supplied by Fluka AG. 3-dehydro derivatives of reservine and reservine were prepared from the parent alkaloids by oxidation in trifluoroacetic acid solution and isolated as their respective trifluoroacetate and perchlorate salts.

3. Results and discussion

Spectral measurements were made in ethanolic solutions of harmine, harmaline and 3-dehydro derivatives of reserpine, reserpic acid and reserpiline.

3.1. Absorption spectra (cf. ref. 5)

The absorption spectrum of harmine (I) in neutral ethanol (Fig. 2 and Table 1) shows well-defined peaks at $\lambda_{max} = 241$ nm and $\lambda_{max} = 301$ nm with smaller peaks at $\lambda_{max} = 325$ nm and $\lambda_{max} = 338$ nm. This spectrum is unchanged in aqueous alkaline (NaOH) ethanol. In aqueous acidic (HCl) ethanol, however, the spectrum is significantly modified (Fig. 2 and Table 1), showing $\lambda_{max} = 250$ nm and $\lambda_{max} = 326$ nm with the emergence of a distinct shoulder at 360 nm and a very weak absorption at 410 nm ($\epsilon \approx 500$ dm³ mol⁻¹ cm⁻¹). These changes reflect protonation of the base to give the cationic species VIII (Fig. 3). Acidic solutions are faintly yellow owing to the weak band at 410 nm.

The absorption spectrum of harmaline (II) in neutral ethanol (Fig. 4 and Table 1) ($\lambda_{max} = 261$ nm and $\lambda_{max} = 337$ nm) is also modified in an acidic medium ($\lambda_{max} = 259$ nm and $\lambda_{max} = 383$ nm) (Fig. 4 and Table 1). The acidic solution of harmaline, corresponding to a solution of crystalline



Fig. 2. Absorption spectra of harmine in neutral (curve A) and acidic (curve B) ethanol.

Compound	Absorp	tion		Fluorescence (298 K)	Fluorescence (77 K)	Phosphorescence	(77 K)
	λ _{max} (nm)	$\epsilon (dm^3 mol^{-1} cm^{-1})$	λ _{ex} (nm)	λ _{max} a (nm)	λ _{max} ^a (nm)	λ _{max^a} (nm)	τ _p (s)
Harmine	241 301 325 338	27776 10795 3546 3158	301	355, 365	346, 36 1, 377	409, 419, 434	2.7
Harmine + acid	250 326 360	20114 11908 5126	301	412	385, 400	461	3.7
Harmine + alkali	241 301 325 337	26948 12866 7844 7248	301	356 , 366	345, 362	407, 420, 435	2.7
Harmaline	261 337 365	14209 22131 5075	261 337	367, 477 367, 476	346, 362, 429 , 442 361, 42 7, 441	410, 418, 43 4 411, 419, 433	3.4 2.8
Harmaline + acid	259 383	11926 28644	261 337	475 476	429, 446 428, 445	460 461	4.4 3.7
Harmaline + alkali	261 337	23908 28926	261 337	366 368	349, 36 7, 380 367, 383	409, 422, 433 410, 421, 435	2.8
Harmaline hydrochloride	255 380	6911 14292	255 380	(351, 365) 476 476	346, 363, 427, 445 375, 428, 445	433	
^a Values in bold type denote	e the wave	elength of the pe	ak with g	greatest intensity.			

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TABLE 1



XVI

Fig. 3. Chemical structures of protonated harmine (VIII), protonated harmaline (IX), β -carboline (X), carbazole (XI), indole immonium cation (XII), isoindole immonium cation (XIII), cotarnine cationic form (XIV), cotarnine carbinolamine (XV) and cotarnine amino aldehyde form (XVI).

harmaline hydrochloride, is distinctly yellow in colour owing to the strong absorption in the blue region at $\lambda_{max} = 380$ nm, arising from the cationic chromophore IX (Fig. 3).

3.2. Emission spectra

Emission spectral data for harmine and harmaline are given in Table 1. The fluorescence of harmine at 298 K (Fig. 5) is characteristic of the β carboline nucleus (Fig. 3, X). The singlet emission (in neutral ethanol)



Fig. 4. Absorption spectra of harmaline in neutral (curve A) and acidic (curve B) ethanol.



Fig. 5. Fluorescence spectrum at 298 K (curve A) and fluorescence and phosphorescence spectra at 77 K (curve B) for harmine in neutral ethanol: ---, phosphorescence spectrum (relative intensity, 10×).

appears as an intense peak ($\lambda_{max} = 355 \text{ nm}$ and $\lambda_{max} = 365 \text{ nm}$). The tail of this band in the visible region of the spectrum gives the β -carboline base a weak pale blue fluorescence in solution. In acidic (HCl) ethanol the intense blue-violet fluorescence of the cation (VIII) appears ($\lambda_{max} = 412 \text{ nm}$) (Fig. 6). This fluorescence shows an improved resolution at 77 K ($\lambda_{max} = 385$ and $\lambda_{max} = 400 \text{ nm}$) and is shifted towards the blue.



Fig. 6. Fluorescence spectrum at 298 K (curve A) and fluorescence and phosphorescence spectra at 77 K (curve B) for harmine in acidic ethanol: ---, phosphorescence spectrum (relative intensity, $10\times$).

The phosphorescence spectrum of harmine (Fig. 5) displays weakly resolved bands ($\lambda_{max} = 409 \text{ nm}$, $\lambda_{max} = 419 \text{ nm}$ and $\lambda_{max} = 434 \text{ nm}$). The intensity of the phosphorescence was low. The long triplet lifetime τ_p of 2.7 s and the singlet-triplet (S-T) splitting distance of 4356 cm⁻¹ are consistent with the corresponding values determined [6] for the isoelectronic carbazole nucleus (XI).

The fluorescence spectrum of harmaline in neutral ethanol at 298 K (Fig. 7 and Table 1) displays peaks at $\lambda_{max} = 367$ nm and $\lambda_{max} = 476$ nm. These bands are of comparable intensities. The peak at 476 nm is strongly intensified in acidic (HCl) ethanol and equates with a similar emission maximum from a solution of crystalline harmaline hydrochloride. The characteristic green fluorescence observed in solutions of harmaline salts corresponds to the emission at $\lambda_{max} = 476$ nm, which we attribute to the $S_0 \leftarrow S_1$ transition of the protonated species IX. The appearance of this band in the fluorescence spectrum of harmaline base in neutral ethanol solution is ascribed to protonation of the basic nitrogen atom in ring C of the β -carboline nucleus in the excited S_1 state. The addition of aqueous (NaOH) alkali to ethanolic solutions of harmaline salts quenches the green fluorescence, and the fluorescence spectrum (Table 1) shows only the shorter wavelength band ($\lambda_{max} = 366$ nm) associated with the unprotonated base. Neutral ethanolic solutions of harmaline excited (261 nm) at 77 K (Table 1) display strong fluorescence emissions from the free base (λ_{max} = 346 nm and λ_{max} =



Fig. 7. Fluorescence spectrum at 298 K (curve A) and fluorescence and phosphorescence spectra at 77 K (curve B) for harmaline in neutral ethanol: --, phosphorescence spectrum (relative intensity, 10×).

362 nm) and the protonated species ($\lambda_{max} = 429$ nm and $\lambda_{max} = 442$ nm), shifted normally towards the blue region.

The phosphorescence emission of harmaline in neutral ethanol ($\lambda_{max} = 410 \text{ nm}$, $\lambda_{max} = 418 \text{ nm}$ and $\lambda_{max} = 434 \text{ nm}$) is weak, with a lifetime of 3.4 s and an S-T splitting of 4207 cm⁻¹ indicative of an unprotonated carboline (see ref. 6). We therefore suggest that this phosphorescence is derived from the triplet state of the unprotonated base.

It follows that the triplet state (T_1) of harmaline must possess significantly weaker basicity than the excited S_1 state. The work of Jackson and Porter [7] has shown that the acidity constants of bases are much less affected by excitation to the triplet state than to the excited singlet. By analogy with acridine and quinoline, for example, an increase of less than one pK unit would be indicated for triplet harmaline. On this basis harmaline in neutral solution would be virtually unprotonated in the triplet state.

3.3. Dehydroreserpines

The oxidation of reserpine (IIIa) to its 3,4-dehydro and 3,4,5,6dehydro derivatives (Va and IVa) can be effected by a variety of reagents. In reserpine itself the hydrogen atom at position 3 has the β equatorial configuration. This equatorial hydrogen atom is resistant to dehydrogenation with mercuric acetate. In sharp contrast, the 3-isoreserpine, in which the C(3) hydrogen atom is axial, is smoothly oxidized by mercuric acetate to 3dehydroreserpine (Va) [8 - 11]. Tert-butyl hypochlorite provides a less stereospecific reagent which will attack either equatorial or axial hydrogen atoms at C(3) [12]. This reagent has been used in the yohimbine series for the oxidation of C(3) β equatorial hydrogen compounds (deserpidine and ψ yohimbine) to their 3-dehydro derivatives. The C(3) α axial hydrogen atoms in yohimbine, corynanthine, β -yohimbine and allo-yohimbine are also easily oxidized by the hypochlorite reagent, affording the 3-dehydro derivatives in all cases.

In the reserpine-yohimbine series other reagents have been employed to effect oxidation, notably hydrogen peroxide [13], cerate [14] and lead tetraacetate [15]. These reagents tend to give rise to tetra dehydro derivatives. Photochemical oxidation is also effective. Aerobic UV irradiation of reserpine in non-ionizing media affords a mixture of 3-dehydroreserpines and 1,2,3,4-tetradehydroreserpines [16]. There is particular interest in the 3dehydroreserpines on account of their intense green fluorescence, which can be exploited for analytical purposes. We now describe their spectra in detail.

3.4. Spectral characteristics of 3-dehydroreserpines

3.4.1. Absorption spectra

3-dehydroreserpine salts (Va) displayed well-defined absorption maxima in ethanolic solution. The data for 3-dehydroreserpic acid hydrochloride are typical (Table 2). The absorption band in the blue region ($\lambda_{max} = 386$ nm), which gives rise to the bright yellow colour of the dehydroreserpine cation, is characteristic of the chromophoric structure XII (Fig. 3). This chromophore is essentially that of the yellow harmalinium cation IX, which shows a similar absorption band ($\lambda_{max} = 380$ nm) in the visible region. While earlier papers [8] usually depict the dehydroreserpine salts in the form of the indole immonium cation XII, the tautomeric isoindole immonium form XIII (Fig. 3) cannot be excluded. Evidence for the structure XIII arises by analogy with 3-dehydroyohimbine chloride, the IR spectrum of which shows no characteristic N-H band at 2.9 μ m [12].

When solutions of 3-dehydroreserpines are made alkaline, the yellow colour is discharged and the green fluorescence is quenched. Harmaline salts display a similar behaviour. Titration of 3-dehydroreserpic acid hydrochloride with KOH in aqueous ethanolic solution required two molar equivalents of base to the end point. The absorption spectrum was monitored throughout the titration. The spectra showed the persistence of the bands associated with the dehydroreserpine cation (Vb) until the end point, when an abrupt change in the spectrum is evident (Fig. 8). The final spectrum (Fig. 8, curve 2) lacks completely the peak in the visible region ($\lambda_{max} = 390$ nm) and displays a spectrum resembling that of 6-methoxyindole with peaks at $\lambda_{max} = 267$ nm, $\lambda_{max} = 274$ nm and $\lambda_{max} = 298$ nm and a further peak at $\lambda_{max} = 330$ nm. These changes in the spectrum are reversible: acidification restores the yellow colour, regenerating the original spectrum. This behaviour is characteristic of the rearrangement of a yellow immonium cation to a

Compound	Absor	ption		Fluorescence (2	98 K)	Fluorescence (77 K)	Phosphorescence (77 K)
	λ _{max} (nm)	$\epsilon (dm^3 mol^{-1} cm^{-1})$	λ _{ex} (nm)	λ _{max} ^a (nm)	φŧ	Å _{max} a (nm)	λ_{\max} (nm) 7	r _p (s)
Dehydroreserpine trifluoroacetate	265 319 332 390	16309 7099 8191 14743	265 390	349, 493 , 542 494 , 541	0.07	435, 451 436, 450	462	
Dehydroreserpine trifluoroacetate + acid	265 390	15435 18748	265 390	345, 491 493	60.0	438, 45 1 439, 450	461	
Dehydroreserpine trifluoroacetate + alkali	267 290 319 332	21332 17219 21041 20131	267 319	351 369	5.4 ×10 ⁻³	346, 446, 464 351 , 444, 463	445, 509, 550 454, 507, 551	
Dehydroreserpic acid hydrochloride	264 295 386	7369 6912 5315	295 386	341 485	0.40	322 438, 451	439 477	3.7
Dehydroreserpiline perchlorate	$322 \\ 336 \\ 400$	17478 18329 3244	322 336 400	476 478 483, 615		456 463 472	507, 551 505, 549	

^aValues in bold type denote the wavelength of the peak with greatest intensity.

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TABLE 2



Fig. 8. Absorption spectra of 3-dehydroreserpic acid hydrochloride: curve 1, in neutral ethanol; curve 2, in ethanol with two equivalents of aqueous KOH.

colourless carbinolamine (pseudobase) as observed in hydrastinine, cotarnine, berberine and certain other alkaloids [17]. For cotarnine, for example, the yellow ionic form of the salts (XIV) (Fig. 3) can assume the colourless covalent carbinolamine structure (XV) (Fig. 3) in alkaline solution, which can then ring open to the tautomeric form XVI (Fig. 3). By analogy we envisage the conversion of the yellow dehydroreserpine salt (XVII) into the hydroxide (XVIII) and the colourless carbinolamine form (XIX) which then equilibrates to the keto structure (XX) as shown in Fig. 9. The latter gives rise to the characteristic aromatic carbonyl R band $n-\pi^*$ absorption observed at $\lambda_{max} = 330$ nm.

3.4.2. Emission spectra

The fluorescence spectrum of 3-dehydroreserpine trifluoroacetate (Fig. 10 and Table 2) is typical, showing an intense peak at $\lambda_{max} = 493$ nm. It is this emission which gives rise to the characteristic green fluorescence observed in dilute solutions of 3-dehydroreserpine salts. The fluorescence maximum undergoes a normal blue shift at 77 K, and some resolution is evident ($\lambda_{max} = 435$ nm and $\lambda_{max} = 451$ nm).

The phosphorescence spectrum (Fig. 10 and Table 2) reveals a weak band at $\lambda_{max} = 462$ nm. Comparison of these spectra with the spectra of harmaline salts (Table 1) shows that the similarities which would be anticipated on the basis of the common chromophoric type XII. In alkaline solution the emission spectra of the 3-dehydroreserpine salts are completely changed. The fluorescence spectrum of 3-dehydroreserpine trifluoroacetate



Fig. 9. The conversion of a 3-dehydroreserpine salt (XVII) to the hydroxide (XVIII) and carbinolamine form (XIX) in equilibrium with the keto structure (XX).



Fig. 10. Fluorescence spectrum at 298 K (curve A) and fluorescence and phosphorescence spectra at 77 K (curve B) for dehydrorescencie trifluoroacetate in neutral ethanol: ---, phosphorescence spectrum (relative intensity, 10x).

in alkaline solution, measured at 298 K (Table 2), shows only a very weak emission at $\lambda_{max} = 351$ nm ($\phi_f = 5.4 \times 10^{-3}$).

At 77 K this fluorescence band is shifted towards the blue region and bands characteristic of the 3-dehydroreserpine cation reappear ($\lambda_{max} = 446$ nm and $\lambda_{max} = 464$ nm). The phosphorescence emission reveals a broad band at $\lambda_{max} = 445$ nm with significant new bands at $\lambda_{max} = 509$ nm and $\lambda_{max} = 550$ nm (Table 2). The latter clearly observed maxima are completely absent from the phosphorescence emission of the cation.

These results are consistent with the cation-carbinol base equilibria depicted in Fig. 9. In line with this scheme conversion of the yellow dehydroreserpine cation XVII to the colourless keto form XX, via the carbinol base XIX, gives rise to an emission spectrum which is highly characteristic of the aromatic carbonyl group. Aromatic carbonyl compounds tend to show only weak or no fluorescence from singlet states, but they display fairly intense phosphorescence emission at long wavelengths in many cases from T_{π,π^*} states. The naphthalene aldehydes provide a useful analogy with the isoelectronic indole carbonyl system XX. The phosphorescence spectrum of naphthalene 1-aldehyde, for example, [18] displays prominent bands at $\lambda_{max} = 500$ nm and $\lambda_{max} = 550$ nm, which closely parallel the bands in the triplet emission which we have attributed to the equilibrium form XX. As for the naphthalene aldehydes the phosphorescent state is probably $\pi-\pi^*$ in character.

The fluorescence spectra observed in alkaline solutions of dehydroreserpinium salts at 77 K require further comment. It is evident (Table 2) that the effect of cooling these solutions from 298 to 77 K is to displace the equilibria back in favour of the dehydroreserpinium cation, the characteristic fluorescence bands of which reappear ($\lambda_{max} = 446$ nm and $\lambda_{max} = 464$ nm). This tendency to revert to the ionic form of the base would be favoured by the increase in the dielectric constant D of the medium at 77 K. Calculations using the relationship $D = a \exp(-bT)$ [19] show that the increase in D is very large. For the 95% ethanol employed here, the value of D rises from 23 to 101 as the temperature of the medium falls from 298 to 77 K.

3.5. Oxidation of reserpines by molecular oxygen

Reserpine and its derivatives are very susceptible to aerial oxidation in acidic media, yielding 3-dehydroreserpines. The conversion goes well in glacial acetic acid solution in the presence of trifluoroacetic acid as a catalyst in a stream of oxygen. The progress of the reaction may be monitored by determining the concentration of the yellow dehydroreserpinium cation spectrophotometrically (Fig. 11). In this way 3-dehydroreserpines may be conveniently prepared and isolated from the reaction product in the form of their trifluoroacetate or perchlorate salts. Reserpiline (VI) is rapidly oxidized to the 3-dehydro derivative (VII) using the foregoing method. 3-dehydroreserpilinium perchlorate [20] forms golden leaflets which reveal characteristic absorption and emission bands associated with the 10,11-dimethoxysubstituted 3-dehydro cation (VII) (Table 2). The perchlorate possesses a



Fig. 11. Absorption spectra of the oxidation product of reserpine in an acetic acid-trifluoroacetic acid mixture at 368 K after various time intervals (reserpine concentration, 1×10^{-2} M; trifluoroacetic acid concentration, 0.12 M): curve 1, 0 min; curve 2, 5 min; curve 3, 10 min; curve 4, 15 min.

distinctive yellow fluorescence with a long wavelength emission at $\lambda_{max} = 615$ nm.

The oxidation of reserpines to their 3-dehydro derivatives is essentially a dehydrogenation process in which a hydrogen atom attached to carbon adjacent to a bridging heterocyclic nitrogen is removed to give the immonium cation XII. The reaction closely resembles certain quinolizidine oxidations [21 - 23] notably that of lupanine (Fig. 12, XXI). This alkaloid is oxidized by silver oxide [23] to the carbinol base 17-hydroxylupanine (XXII) which affords the immonium salt (XXIII) in acid solution. Oxidations by silver oxide often effectively achieved in trifluoroacetic acid medium [24] probably involve the participation of activated (singlet) oxygen. The role of $Ag-O_2$ complexes in silver-catalysed oxidations [25] is closely related. The significant factor in these reactions is evidently the activation of molecular oxygen by catalytically induced breakdown of spin conservation in the ground state (triplet) oxygen molecule. More generally hydroxylation of aromatic systems can be effected by molecular oxygen in the presence of hydrogen fluoride [26] and trifluoroacetic acid catalysed by metal acetates [27 - 29]. The indole nucleus is easily hydroxylated to





Fig. 12. Reaction scheme of the oxidation of lupanine (XXI) by silver oxide to 17-hydroxylupanine (XXII) giving an immonium salt (XXIII) in acid solution and the formation of a 3-dehydroreserpine salt (XXVI) via the 3-hydroxy intermediate (XXV).

indoxyl and its red condensation products in trifluoroacetic acid solution [30, 31].

In the light of the foregoing discussion we suggest that the dehydrogenation of the reserpine derivatives in trifluoroacetic acid medium involves the participation of oxygen activated by association with trifluoroacetic acid. As a result hydroxylation takes place at C(3) in structure XXIV (Fig. 12) to give the 3-hydroxy intermediate XXV from which the dehydro reserpinium salt is formed by elimination of water. The observed inhibition of the dehydrogenation by the efficient singlet oxygen quencher α -tocopherol [32, 33] would indicate that spin uncoupling to give the reactive singlet state of the oxygen molecule occurs, probably involving percarboxylic intermediates.

The photochemical oxidation of reservine to its dehydro derivatives referred to earlier [16] represents the analogous reaction involving singlet oxygen excited by UV irradiation.

4. Conclusion

The absorption and emission spectra of harmine and harmaline free bases show profound changes in acidic solution as a result of protonation of the tertiary heterocyclic nitrogen in ring C. The fluorescence characteristics of these systems are essentially those of the parent β -carbolines.

The 3-dehydroreserpines show similar characteristics to harmaline in acid solution owing to the presence of the same cationic chromophore. In alkaline solution, however, they behave quite differently. Unlike harmaline salts which merely deprotonate to the free base, 3-dehydroreserpines undergo a carbinol-base rearrangement to a keto-indole form. This is a reversible ring-opening involving equilibria which are strongly dependent on changes in dielectric constant and excited state basicity at 77 K.

Dehydroreserpine salts are formed when reserpines are oxygenated in trifluoroacetic acid medium. This reaction appears to involve spin uncoupling of oxygen to yield the reactive singlet form. As such the process provides a ground state analogue of the dehydrogenation of reserpines by molecular oxygen excited to the singlet state by UV light.

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